

## IDENTIFICATION OF CONTRACTORS & RELATED PERSONNEL

**CONTRACTORS:** (See “Definitions” – page 2) Any entity who currently provides service(s) by means of a Professional Services Contract to the Municipal Pension System of the **Requesting Municipality**, please complete all of the following:

Identify the Municipal Pension System(s) for which you are providing information:

Indicate all that apply with an “X”:  Non- Uniform Plan  Police Plan  
 Fire Plan

**\*\*NOTE:** For all that follow, you may answer the questions / items on a separate sheet of paper and attach it to this Disclosure if the space provided is not sufficient. Please reference each question / item you are responding to by the appropriate number. (example: REF – Item #1.)

1. Please provide the names and titles of all individuals providing professional services to the **Requesting Municipality’s** pension plan(s) identified above. Also include the names and titles of any advisors and subcontractors of the Contractor, identifying them as such. After each name provide a description of the responsibilities of that person with regard to the professional services being provided to each designated pension plan.

Jeffrey M Hugo VP. InR Advisory Services LLC. - consultant  
 Daniel Glackin " " " " - administrative  
 Charles Schwab Trust Co. - Custodian

2. Please list the name and title of any *Affiliated Entity* and their *Executive-level Employee(s)* that require disclosure; after each name, include a brief description of their duties. (See: Definitions)

None

3. Are any of the individuals named in **Item 1** or **Item 2** above, a current or former official or employee of the **Requesting Municipality**?

➔ IF "YES", provide the name and of the person employed, their position with the municipality, and dates of employment.

NO

4. Are any of the individuals named in **Item 1** or **Item 2** above a current or former registered Federal or State lobbyist?

➔ IF "YES", provide the name of the individual, specify whether they are a state or federal lobbyist, and the date of their most recent registration /renewal.

NO

**NOTICE:** All information provided for items 1- 4 above must be updated as changes occur.

5. Since December 17<sup>th</sup> 2009, has the *Contractor* or an *Affiliated Entity* paid compensation to or employed any third party intermediary, agent, or lobbyist that is to directly or indirectly communicate with an official or employee of the *Municipal Pension System* of the **Requesting Municipality** (OR), any municipal official or employee of the **Requesting Municipality** in connection with any transaction or investment involving the *Contractor* and the *Municipal Pension System* of the **Requesting Municipality**?

This question does not apply to an officer or employee of the *Contractor* who is acting within the scope of the firm's standard professional duties on behalf of the firm, including the actual provision of legal, accounting, engineering, real estate, or other professional advice, services, or assistance pursuant to the professional services contact with municipality's pension system.

➔ IF "YES", identify: (1) whom (the third party intermediary, agent, or lobbyist) was paid the compensation or employed by the *Contractor* or *Affiliated Entity*, (2) their specific duties to directly or indirectly communicate with an official or employee of the *Municipal Pension System* of the **Requesting Municipality** (OR), any municipal official or employee of the **Requesting Municipality**, (3) the official they communicated with, and (4) the dates of this service.

NO

6. Since December 17<sup>th</sup> 2009, has the *Contractor*, or any agent, officer, director or employee of the *Contractor* solicited a contribution to any municipal officer or candidate for municipal office in the **Requesting Municipality**, or to the political party or political action committee of that official or candidate?

➔ IF "YES", identify the agent, officer, director or employee who made the solicitation and the municipal officials, candidates, political party or political committee who were solicited (to whom the solicitation was made).

NO

7. Since December 17<sup>th</sup>, 2009: Has the *Contractor* or an *Affiliated Entity* made any contributions to a municipal official or any candidate for municipal office in the **Requesting Municipality**?

➔ IF "YES", provide the name and address of the person(s) making the contribution, the contributor's relationship to the Contractor, The name and office or position of the person receiving the contribution , the date of the contribution, and the amount of the contribution.

NO

8. Does the *Contractor* or an *Affiliated Entity* have any direct financial, commercial or business relationship with any official identified on the *List of Municipal Officials*, of the *Requesting Municipality*?

➔ IF "YES", identify the individual with whom the relationship exists and give a detailed description of that relationship.

**\*\*NOTE:** A written letter is required from the **Requesting Municipality** acknowledging the relationship and consenting to its existence. The letter must be attached to this disclosure. Contact the **Requesting Municipality** to obtain this letter and attach it to this disclosure before submission.

NO

9. Has the *Contractor* or an *Affiliated Entity* given any gifts having more than a nominal value to any official, employee or fiduciary – specifically, those on the *List of Municipal Officials* of the *Requesting Municipality*?

➔ IF "YES", Provide the name of the person conferring the gift, the person receiving the gift, the office or position of the person receiving the gift, specify what the gift was, and the date conferred.

NO

10. Disclosure of contributions to any political entity in the Commonwealth of Pennsylvania

**Applicability:** A "yes" response is required and full disclosure is required ONLY WHEN ALL of the following applies:

- a) The contribution was made within the last 5 years (specifically since: December 18<sup>th</sup> 2004)
- b) The contribution was made by an officer, director, executive-level employee or owner of at least 5% of the *Contractor* or *Affiliated Entity*.
- c) The amount of the contribution was at least \$500 and in the form of:
  1. A single contribution by a person in (b.) above, OR
  2. The aggregate of all contributions all persons in (b.) above;
- d) The contribution was for
  1. Any candidate for any public office or any person who holds an office in the Commonwealth of Pennsylvania;
  2. The political committee of a candidate for public office or any person that holds an office in the Commonwealth of Pennsylvania.

➔ IF "YES", provide the name and address of the person(s) making the contribution, the contributor's relationship to the *Contractor*, The name and office or position of the person receiving the contribution (or the political entity / party receiving the contribution), the date of the contribution, and the amount of the contribution.

Yes - see attached

**PART 4 – SPECIFIC INFORMATION RELATING TO CONTRIBUTIONS AND GIFTS**

<b>Name of Contributor</b>	<b>Relationship to individual or business completing form</b>	<b>Name of Recipient</b>	<b>Date of Contribution or Gift</b>	<b>Amount of Contribution or Gift</b>
115 Group	Affiliated Entity	Friends of Joe Hackett	4/14/2010	\$500.00
115 Group	Affiliated Entity	Friends of Joe Hackett	9/8/2008	\$250.00
115 Group	Affiliated Entity	Friends of Dominic Pileggi	9/23/2008	\$250.00
115 Group	Affiliated Entity	Killion Victory Committee	4/8/2009	\$250.00
115 Group	Affiliated Entity	Friends of Dominic Pileggi	5/5/2009	\$1,000.00
115 Group	Affiliated Entity	Friends of Jack Whelan	5/5/2009	\$500.00
115 Group	Affiliated Entity	Friends of Ann Duke	5/15/2009	\$1,000.00
115 Group	Affiliated Entity	Linda Cartisano for Judge	6/19/2009	\$300.00
115 Group	Affiliated Entity	Friends of Dominic Pileggi	7/6/2009	\$200.00
115 Group	Affiliated Entity	Friends of Jack Whelan	9/15/2009	\$150.00
115 Group	Affiliated Entity	Linda Cartisano for Judge	9/29/2009	\$200.00
115 Group	Affiliated Entity	Friends of Maureen Carey	2/23/2010	\$400.00
115 Group	Affiliated Entity	Friends of Nick Miccarelli	3/2/2010	\$200.00
115 Group	Affiliated Entity	Friends of Tom Cawley	5/10/2010	\$1,000.00

115 Group	Affiliated Entity	Friends of Dominic Pileggi	5/19/2010	\$2,000.00
115 Group	Affiliated Entity	Killion Victory Committee	6/1/2010	\$1,000.00
115 Group	Affiliated Entity	Friends of Maureen Carey	6/1/2010	\$3,000.00
115 Group	Affiliated Entity	Friends of Nick Micozzie	6/1/2010	\$3,500.00
115 Group	Affiliated Entity	Friends of Nick Miccarelli	6/1/2010	\$2,000.00
115 Group	Affiliated Entity	Friends of John Williamson	6/1/2010	\$1,000.00
115 Group	Affiliated Entity	Friends of Joe Hackett	6/1/2010	\$3,750.00
115 Group	Affiliated Entity	Friends of Rocky Brown	6/1/2010	\$1,000.00
115 Group	Affiliated Entity	Friends of Jack Whelan	6/8/2010	\$1,000.00
115 Group	Affiliated Entity	Tom Corbett for Governor	10/30/2009	\$3,000.00
Killion Victory Committee	Affiliated Entity	Friends of Joe Hackett	10/24/2008	\$1,000.00
Killion Victory Committee	Affiliated Entity	Schorder 2010	9/28/2009	\$500.00
Killion Victory Committee	Affiliated Entity	Friends of Mike Turzi	11/18/2009	\$500.00
Killion Victory Committee	Affiliated Entity	Orie Melvin for Supreme Court	4/6/2009	\$1,000.00

11. With respect to your provision of professional services to the Municipal Pension System of the **Requesting Municipality**:  
Are you aware of any apparent, potential or actual conflicts of interest with respect to any officer, director or employee of the *Contractor* and officials or employees of the **Requesting Municipality**?

no

Jeffrey M. Ilygo  
SIGNATURE  
Vice President  
TITLE  
10-25-10  
DATE

**VERIFICATION**

I, Jeffrey M Ilygo, hereby state that I am Vice President for  
(Name) (Position)  
INR Advisory Service LLC and I am authorized to make this verification.  
(Contractor)

I hereby verify that the facts set forth in the foregoing Act 44 Disclosure Form for Entities Providing Professional Services to Penndel Borough Pension System are true and correct to the best of my knowledge, information and belief. I also understand that knowingly making material misstatements or omissions in this form could subject the responding Contractor to the penalties in Section 705-A(e) of Act 44.

I understand that false statements herein are made subject to the penalties of 18 P.A.C.S. § 4904 relating to unsworn falsification to authorities.

Jeffrey M. Ilygo Signature  
10-25-10 Date